ITEM 1 – COVER PAGE

Redhawk Wealth Advisors, Inc. 7700 France Avenue South Suite 430 Minneapolis, MN 55435

Doing Business As:



209 E. Morthland Drive, Suite 2A Valparaiso, IN 46383

Aaron David Patten

2B Supplemental Brochure

January 4, 2023

The Brochure Supplement provides information about Aaron Patten (CRD#7510891) that supplements the Redhawk Wealth Advisors Brochure. You should have received a copy of the Brochure. Please contact the Chief Compliance Officer, Rick Keast at (952) 835-4295 or compliance@redhawkwa.com if you did not receive Redhawk Wealth Advisors' Brochure or if you have any questions about the contents of this supplement.

Additional information about Aaron Patten is available on the SEC's website at <u>SEC Adviser Info</u>. You can search this site by a unique identifying number, known as the CRD number listed above.

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Aaron David Patten

Born: 1983

Post-Secondary Education:

 Saint Joseph's College, Bachelor of Business Administration, Finance/Economics, 2007

Insurance License: Life & Health, 2016

Recent Business Experience:

- Redhawk Wealth Advisors, Investment Advisor Representative, 12/2022-Present
- Patten Financial Group, LLC, Managing Member, 01/2021-Present
- Payne Financial Solutions, Agent, 07/2016-Present
- MWM Advisory Group, LLC, Investment Advisor Representative, 05/2022-09/2022

ITEM 3 - DISCIPLINARY INFORMATION

Aaron Patten has no history of any legal or disciplinary events that deems to be material to a client's consideration of Aaron Patten to act as their investment adviser representative. FINRA's BrokerCheck® may have additional information regarding the disciplinary history of Aaron Patten that is not included in this brochure supplement. (SEC Adviser Info).

ITEM 4 - OTHER BUSINESS ACTIVITIES

Aaron Patten is an insurance agent. It is anticipated that (40%) of his time, will be spent providing these insurance products. In such capacity, he offers fixed insurance products including long term care and disability income products. He receives normal and customary commissions as a result of any purchases made by clients. The client is under no obligation to purchase insurance through Aaron Patten on a commissionable basis. In addition, Aaron Patten receives other compensation. The potential for receipt of commissions and other compensation gives him incentive to recommend insurance products based on the compensation received, rather than on the client's needs. To address this, disclosure is made to the client at the time purchase is made, identifying the nature of the transaction or relationship, the role to be played and any compensation (e.g., commissions, trails) to be paid by the client and/or received by the insurance agent.

Aaron Patten is Managing Member of Patten Financial Group, LLC. This is a

bookkeeping entity Mr. Patten uses for advisory and insurance compensation received. This entity is also used for marketing purposes for advisory and insurance business. Mr. Patten spends less than 10% of his time each month on this activity.

ITEM 5 - ADDITIONAL COMPENSATION

Aaron Patten does not receive any economic benefit for providing advisory services beyond the scope of Redhawk Wealth Advisors and business activities listed in **Item 4**.

ITEM 6 - SUPERVISION

Aaron Patten is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Rick Keast, who is responsible for administering the policies and procedures. Rick Keast reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Rick Keast contact information:

Chief Compliance Officer (952) 835-4295 compliance@redhawkwa.com